

430 Rec'd PCT/PTO 15 MAR 2000

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 1 of 1	ATTORNEY DOCKET NO. 3711-000105	SERIAL NO. 09/508778
	APPLICANT Seifert et al	
	FILING DATE	GROUP

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	<i>[Signature]</i>	5,297,031	3/1994	Guterman et al		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
1.	<i>[Signature]</i>	0 401 203	12/1990	EPO			
2.	<i>[Signature]</i>	WO 96/05563	2/1996	WIPO			
3.	<i>[Signature]</i>	WO 96/34357	10/1996	WIPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
1.	<i>[Signature]</i>	"Online Stock Trading System: Study of An Application" - Computer Society International Conference. Feb. 23-27, 1987 (1 sheet) pp. 161 and 162
2.	<i>[Signature]</i>	"NORDEX: automated trading for Nordic equities"; Computers in the City 89 - Nov. 14 - 16, 1989 - pp. 53-61.
3.	<i>[Signature]</i>	"How Computer Assisted Trading Is Making The Toronto Stock Exchange Purr"; Wall Street Computer Review - December 1987, pp. 71-77, 97
4.	<i>[Signature]</i>	Copy of International Search Report dated February 3, 1999

Examiner: <i>[Signature]</i>	Date Considered: 03/18/06
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.